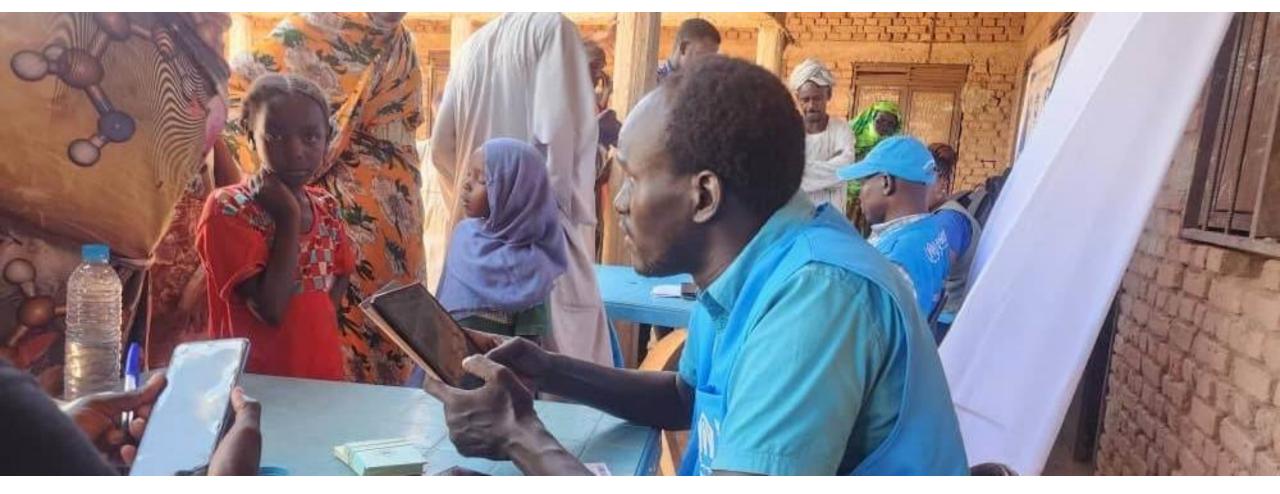


PROTECTION CLUSTER SOUTH SUDAN

PROTECTION RISK MONITORING SYSTEM (PRMS)

2024 TRAINING MANUAL





















KET DELINITIONS
PRMS BACKGROUND IN SOUTH SUDAN
15 PROTECTION RISKS
PROTECTION RISK MONITORING SYSTEM (TOOLS, DATA FLOW, PAF, INFORMATION NEEDS)
KEY INFORMANT INTERVIEW, OBSERVATION AND FOCUSED GROUP DISCUSSION TOOLS
AOR EXPERT TOOL



☐ KEY DEFINITIONS





☐ TIPS AND GOLDEN RULES



☐ SUB-NATIONAL (AREA-BASED) PROTECTION RISK ASSESSMENT

☐ HOUSEHOLD LEVEL ASSESSMENTS (ISNA)













PROTECTION RISKS - The Global Protection Cluster (GPC), together with the Areas of Responsibility (AoR), has developed a consolidated list of 15 Protection Risks. The list includes standard definitions for the Protection Clusters to provide a coherent and consistent outlook of protection risks across operations. A protection risk is the actual or potential exposure of the affected population to violence, coercion, or deliberate deprivation. Read more in the <u>Protection Risk Explanatory Note</u>.

PROTECTION MONITORING - is defined as a systematic and regular process of collecting and analyzing information on the protection environment, the risks faced by individuals and communities, and the actions taken to address those risks. Read more in PIM Matrix.

PROTECTION ANALYTICAL FRAMEWORK - The PAF guides in-depth and ongoing protection analysis of the crisis environment. It informs decision making for multisectoral and multi-disciplinary strategies that reduce and prevent protection risks that may violate international human rights and refugee and humanitarian laws. It is suitable for use across humanitarian contexts, including with internally displaced people, returnees, refugees, and mixed situations. Read more in Introduction to PAF.

COMMUNITY-BASED PROTECTION - puts the capacities, agency, rights, and dignity of the population exposed to protection risks at the center of protection response programming. It generates more effective and sustainable protection outcomes by strengthening local resources and capacity and identifying protection gaps through regular consultation (monitoring).

















BACKGROUND

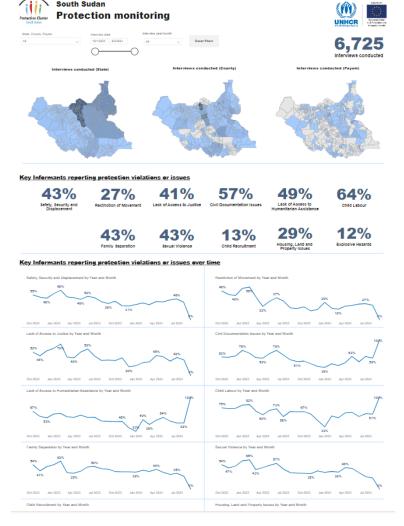
- In 2021, the Protection Cluster South Sudan (PC) established the development and deployment of a protection monitoring system as a strategic priority.
- ☐ The first three quarters of 2022 were invested in AoR and cluster partners' buy-in, framework, data collection tool (KOBO) development, in the setting of the analytical framework, and mass training.
- ☐ In October 2022, the PC deployed the Protection Monitoring System (PMS) pilot, and after a comprehensive testing phase, a modified final PMS questionnaire was deployed in April 2023.
- ☐ In the 3rd quarter of 2023, the PC ensured full endorsement of the tool and system results by the Humanitarian Coordinator (HC) recognizing it as the primary data source for protection analysis.
- In 2022, the Global Protection Cluster (GPC) developed the Protection Analytical Framework (PAF) and deployed it as a qualitative data-based analytical tool across operations with active Protection Clusters.
- In 2023, global GPC partner DRC started introducing a protection information management platform named DEEP to Clusters including in South Sudan.
- ☐ In 2023, the PC in South Sudan and the GPC started bringing the global PAF initiatives, DEEP, and the PMS closer together in a unified system to be developed and tested in South Sudan by the end of 2024 the Protection Risk Monitoring System!













RISK	RISK DESCRIPTION	DEFINITIONS AND TIPS	GUIDANCE NOTE IN THE SOUTH SUDAN CONTEXT
Child and forced family separation	Children (girls and boys) and other vulnerable family members (older persons at heightened risk of neglect, persons with disability, women, etc.) who separate from their families due to conflicts, natural disasters, recruitment, and harmful traditional practices (early/forced marriage).	Separated children are those separated from both parents or their primary caregiver but not necessarily from other relatives; unaccompanied children are those separated from both parents, primary caregivers and other relatives, and are not under the care of an adult who, by law or costume is responsible for doing so. Family members may be separated for hours, days, months – even years.	There is often a misunderstanding between the meaning of separation and being unaccompanied, which requires careful clarification. Furthermore, it is important to underline that separated children (those who have fled the home of parents or primary caregivers) are at risk, not just those who are unaccompanied. When a child leaves the core family unit to live with extended family, e.g. aunt, they may not be perceived as being exposed to risk. This can lead to lower reporting on child separation.
Child, early or forced marriage	Child marriage is a harmful traditional practice and a form of GBV. The risk increases due to poverty caused by displacement during conflicts and/or natural disasters.	Child marriage is any formal or informal union between a child under the age of 18 with an adult or another child. It is considered as a form of forced marriage because of the impossibility for a child to give full, free and informed consent. Forced marriage is a marriage in which one or more of the parties is married without their consent or against their will. In conflict-affected areas, girls may be kidnapped and forced to marry members of armed forces or armed groups.	Given wider traditional norms, there is a lack of awareness/knowledge amongst adults and children of the concept of marriage being 'forced', as it carries value in society. In cases where pregnancy occurs before marriage, there have been cases where girls are forced to marry to avoid family shame. It is important to note that this varies across regions in South Sudan.
Discrimination and stigmatization, denial of resources, opportunities, services and/or humanitarian access	Acts that deprive or pre-empt people to rightfully access economic resources/assets or livelihood opportunities, education, health or other social services, on the basis of unjustifiable and/or unlawful reasons or policies with the consent or under the acquiescence or negligence of public officials or responsible parties.	Discrimination includes acts of making unjustified distinctions between human beings based on categories to which they are perceived to belong (race, gender, age, religion, sexual orientation, as well as other categories). Stigma can include dehumanizing, degrading, discrediting and devaluing people in certain population groups, often based on a feeling of disgust. Denial of equal opportunity, including resources and services refers to any action, conduct or measure resulting in or likely to result in the taking away in any manner of existing opportunities for livelihood, vocation, occupation or employment or any other livelihood rights and entitlements. This is a core type of gender-based violence.	Discrimination within communities often occurs towards groups, for example, certain minorities tribes are discriminated against by a majority tribe. On the individual level, GBV survivors, persons living with disability, LGBTQI+ are vulnerable to stigmatization and subsequent discrimination. Denial of resources is linked to a lack of information, with communities often not aware of the resources that they are being denied. Expectations from communities linked to resources are often associated with humanitarian aid, rather than linked to government capacities to provide such resources.









RISK	RISK DESCRIPTION	DEFINITIONS AND TIPS	GUIDANCE NOTE IN THE SOUTH SUDAN CONTEXT
Disinformation and denial of access to information	This protection risk refers to all situations when information is used or denied with the consequence of causing harm to the population.	Disinformation takes place when false information and statements are disseminated intentionally to cause serious social harm. The denial of access to information manifests in all situations where the freedom to 'receive and impart' information is impaired in such a manner and to such a degree that it hinders the capacity of the population to enjoy basic rights and fulfill basic needs.	Often there is a lack of understanding of what information communities are being denied, and what the potential harm caused could be. Given this lack of understanding, this risk would not always be perceived as highly problematic by communities, resulting in underreporting.
Impediments and/or restrictions to access to legal identity, remedies and justice	All measures, acts and practices that prevent people from accessing documentation, remedies and justice with consequent harm at individual, household and community levels.	Denial of access to legal identity refers to all situations preventing a person from possessing legal identity or any other fundamental documentation to be recognized as a person before the law. Denial of access to remedies includes all impediments to obtaining effective procedural and substantive remedies following legal claims. Denial access to justice relates to the impediments to access to judicial mechanisms, processes, and remedies, ranging from access to statutory courts or commissions to traditional mechanisms such as customary or faith-based dispute resolution bodies.	This risk is widespread and whilst most communities know their rights to legal identities, remedies and justice, they often encounter challenges including the related costs and fear/concern around the processes themselves.
Psychological/emotion al abuse or inflicted distress	Traumatic experiences often involve the loss of family members, participation in or witnessing of violent acts, or by the breakdown of social services such as health and education due to conflict and other humanitarian emergencies.	Psychological and emotional abuse or the infliction of distress can refer to direct acts perpetrated with the intention of causing harm, as well as the indirect results of the infliction of these types of mental or emotional pains or injuries. These include the presence of conditions that generate prolonged mental health and psychological well-being problems not addressed by State authorities, including not ensuring the availability of and accessibility to support systems.	Given the levels of violence, climatic shocks and economic crisis that the country has experienced, distress is widespread. Communities are very resilient, which can foster an environment of not recognizing MHPSS issues, which can lead to underreporting of this risk. When questioned on this risk, it is likely that communities will be more open to speak about the distress of others, rather than themselves.









RISK	RISK DESCRIPTION	DEFINITIONS AND TIPS	GUIDANCE NOTE IN THE SOUTH SUDAN CONTEXT
Theft, extortion, forced eviction or destruction of personal property	All forms of unlawful dispossession of persons either individually or collectively involve a direct or indirect responsibility of public officials or non-state actors, either by acts or by omissions.	Theft includes looting, pillage and any other act of stealing. Extortion includes any practice to elicit actions, money or property through any form of coercion. Forced evictions are the permanent or temporary removal against their will of individuals, families and/or communities from the homes and/or land that they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Destruction of personal property includes all damage and destruction caused by unlawful acts, committed willfully or wantonly (done recklessly and with a conscious disregard for substantial harm to people or property).	Land grabbing is widespread in South Sudan, which is also linked to a lack of tenure. Demarcation of land for new infrastructure, including roads, leads to the destruction of civilian property. This does not necessarily occur spontaneously, but people do not move due to a lack of options of another place to go. Extortion techniques, such as under false accusations and prosecution of abuse of young female family members by their suitors. There is a lack of understanding amongst communities of how widespread, advanced, and varied these techniques are.
Torture or cruel, inhuman, degrading treatment or punishment	Any act inflicted on a person intentionally causes severe pain or suffering physical or mental. Cruel, inhuman and degrading treatment or punishment.	Any act inflicted on a person intentionally causes severe pain or suffering physical or mental. Cruel, inhuman, and degrading treatment or punishment.	This is often a risk being faced by individuals, rather than on a community level. Targets include public figures and journalists, including human rights defenders. Communities are often not aware that this is happening, beyond the families' members of those who are affected, which may result in lower reporting.
Trafficking in persons, forced labor or slavery- like practices	This protection risk refers to forced labor, slavery, slavery-like practices and trafficking in persons.	Forced labor refers to situations in which persons are coerced to work under the menace of any penalty, for example through the use of violence or intimidation, or by more subtle means such as manipulated debt, retention of identity papers or threats of denunciation to immigration authorities. Trafficking in persons refers to the recruitment, transportation, transfer, harboring or receipt of persons, by means of the threat or use of force or other forms of coercion.	Trafficking occurs more often at an inter-state level, rather than internationally and is often linked to recruitment into armed groups. It may be challenging to distinguish between forced labor and poor working conditions (low / delayed pay and long working hours). This is particularly applicable in the construction sector and seen in urban areas.









RISK	RISK DESCRIPTION	DEFINITIONS AND TIPS	GUIDANCE NOTE IN THE SOUTH SUDAN CONTEXT
Abduction, Kidnapping, Enforced Disappearance, Arbitrary or Unlawful Arrest and/or Detention	Refers to the act of forcibly removing, capturing and apprehending an individual (children, women, men) against their will.	Enforced disappearances are serious human right violations where people (Men women , boys and girls of diverse background and ages) are abducted or detained by state or non-state actors and refuse to acknowledge the person's fate putting them outside of the law.	Arbitrary or unlawful arrest are a common occurrence in many communities, often without the affected persons recognizing the risk. Abduction and Kidnapping are rather seen in States that practice cattle raiding, targets being women and children. This can lead to gaps in data collection on kidnapping and enforced disappearance, as these aspects of the risk might not be fully recorded.
Attacks On Civilians And Other Unlawful Killings, and Attacks on Civilian Objects	Describes the act of any violence and or destruction against individuals and civilian groups as well as their properties and possessions.	A civilian is any individual who does not belong to any of the various categories of combatant defined in Geneva Convention and its additional protocols. Civilian objects are defined as all objects that are not military objectives. E.g Town, Cities, Villages, Residential Areas, Dwellings, buildings, houses schools, civilian means of transportation, hospitals, places of worship, IDPs sites, ETC.	Attacks on civilians or destruction of civilian objects and unlawful killings by gang groups are more common than those by state actors and non-state actors. However, it is also important to recognize that this risk also exists at the community level.
Forced Recruitment and Association of Children in Armed Forces and Groups	The forced enrollment of children (anyone under 18) into armed groups, military and rebel groups to become fighters and or cook, cleaners, spies and used for sexual purposes.	The recruitment and use of children is considered as one of the worst forms of child labor and is listed as one of the six grave violations of children's rights. It is important to note that any child recruitment is recognized as forced regardless of the willingness of the child.	This risk varies across communities. In some, where armed groups are the majority, joining these groups can be seen as a status symbol and is often voluntary. In other communities, children are forcibly abducted. Active recruitment through persuasion is less common.









RISK	RISK DESCRIPTION	DEFINITIONS AND TIPS	GUIDANCE NOTE IN THE SOUTH SUDAN CONTEXT
Gender-based Violence	Refers to the act of inflicting physical, sexual, or psychological violence and or harm to an individual and or group (but not limited to women and girls) due to their gender.	Gender based Violence is an umbrella protection risk for any harmful act that is perpetrated against a person's will and that is based on socially ascribed (i.e., gender) differences between males and females. Additionally, conflict sexual violence can be used as a weapon of war and to insight power and authority upon the targeted community.	Activities related to GBV are often perceived as "women's issues" and are not well received by men. Intimate partner violence is rarely discussed or reported yet it is the highest form of GBV.
Presence of Mine and Other Explosive Ordnance	Refers to a geographical area where due to ongoing or past conflict there is a presence of mines.	This includes mines, cluster munitions, unexploded ordnance, abandoned ordnance, booby traps, improvised explosive devices, and other devices (as defined by the Convention on Certain Conventional Weapons: Amended Protocol II). They are inevitably found during and after armed conflicts and, when activated, can incapacitate, injure or kill one or more persons.	This is generally well understood.
Unlawful Impediments or Restrictions to Freedom of Movement, Siege and Forced Displacement	When an individual or group of people do not have a choice related to their movements whether self-imposed or externally afflicted.	This refers to all restrictions and barriers to freedom of movement, including situations of isolation, siege, forced displacement and any situation when a person does not have free choice related to movements. Checkpoints are also relating to the restriction of movement of individuals and groups.	Impediments to movement are often self-imposed due to conflict or natural disasters, rather than externally imposed, such as curfews. Forced displacement is relatively straightforward. Sieges occur only at the state level at entry and exit checkpoints









COMMUNITY-BASED PROTECTION - puts the capacities, agency, rights, and dignity of the population exposed to protection risks at the center of protection response programming. It generates more effective and sustainable protection outcomes by strengthening local resources and capacity and identifying protection gaps through regular consultation (monitoring).

The Protection Custer (PC) and AoRs take a community-based approach in all their work through a series of processes summarized by the Protection Risk Monitoring System (PRMS) and other secondary sources assessing 15 Global Protection Risks and analyzed with the framework of the Protection Analytical Framework (PAF).

Beginning in 2022 with the introduction of the Protection Monitoring System (PMS) and followed by a major rehaul and transformation into PRMS, the Protection Cluster South Sudan now has a sound and coherent system to collect, collate, analyze, and advocate for mitigation of protection risks as well as globally acknowledged system of translating Protection Risks into Humanitarian Needs (also embedded in the latest JIAF 2.0 manual).

From the data collection perspective and considering the participation of all relevant community factors (community members of a variety of ages, genders, and diverse groups as well as other possibly marginalized groups such as Returnees or IDPs, community leadership structures, authorities, as well as partnerships with community-based organizations and National Nongovernmental Organizations (NNGOs) as well as considering a series of Protection Information Management (PIM) methods at our disposal, the PC and AoRs have set up the following system.

PROTECTION RISKS

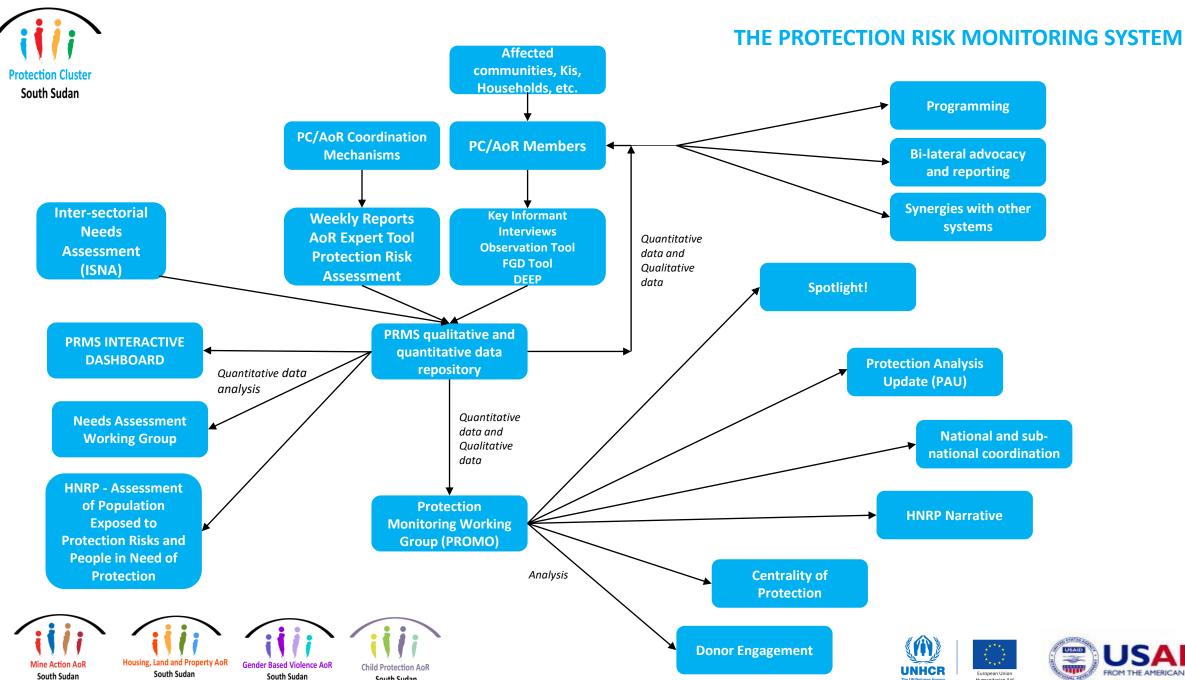


Community-based protection (CBP) is more than a matter of consulting communities or their participation in rapid assessment or ad-hoc information-gathering. It is a systematic and continuous process of engaging communities as analysts, evaluators, and implementers in their protection.









South Sudan



Protection Cluster South Sudan

THE PROTECTION RISK MONITORING SYSTEM – TOOLS

Key Informant Interview Tool – Monthly data collection conducted by PROMO members with 3 KI per payam as minimum. The tool covers all 15 GPC protection risks. Data are collated as severities.

Observation Method Tool - Tool designed for rapid site assessments with coverage of 10 risks. Recommended for usage for mobile protection teams and in cooperation with CCCM. It has a qualitative component enabling easier site report writing.

FGD Tool - The tool was designed for focus group discussion, chiefly relying on qualitative comments with some quantitative components. It covers 10 Protection Risks.

AoR Expert Tool - Cumulatively, the AoR Expert tool covers 10 Risks. It is designed to capture analytical indicator data for HNO as well as enable quarterly reporting through a combination of qualitative and quantitative inputs.

Sub-national Risk Assessment Tool - A quarterly process and tool envisaged to assess risks at the sub-national level in a workshop with focal points and key actors incl. representatives of communities. It is used when data from other tools are not available.

DEEP - DEEP is a searchable repository of qualitative reports. Many protection partners have been trained to use it and FPs are encouraged to promote usage by field partners.

Weekly Reports - Weekly internal sub-national reports serve to gather knowledge on occurrences rapidly and support monthly protection analysis.







THE PROTECTION RISK MONITORING SYSTEM – DATA

The PMS collects quantitative data based on KI interviews and, to an extent, from the Expert tool, FGD tool, Observation tool and, periodically, ISNA.
The PMS collects qualitative data from DEEP, weekly sub-national cluster coordination reports and Expert, FGD and Observation tools.
The PRMS collects the data into a middleware data storage system that will have display and search features for qualitative data and access raw data sets for quantitative data (as feeds for other analytical systems such as the NAWG system).
Based on a new analytical PAF-aligned system of severities and weight, the quantitative analysis will continue to be via the Power BI tool that will also produce visual analysis of collected data. The visualization will be made public with limited features and internal with full features displayed.
DEEP tool should be filled by partners using individual partner reports and assessments.
PROMO WG will assess all findings and publish regular monthly updates, advocacy notes, the PAUs, etc.
PRMS severities will be directly linked to Humanitarian Needs Overview end establishment of PINs.









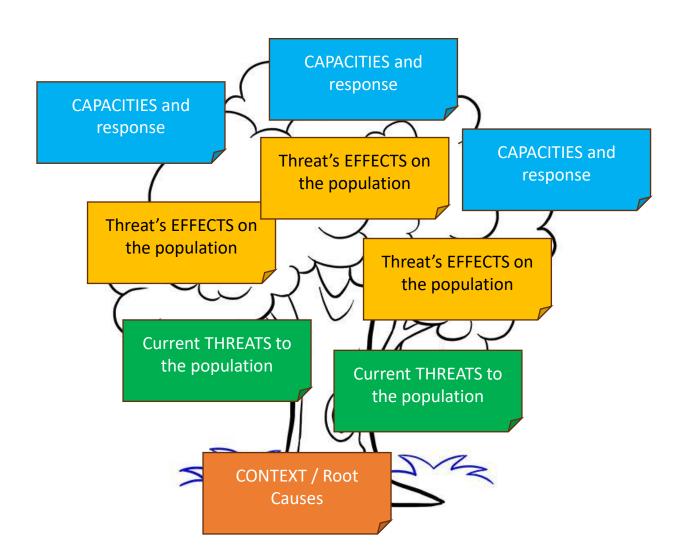








THE PROTECTION RISK MONITORING SYSTEM – PROTECTION ANALYTICAL FRAMEWORK













THE PROTECTION RISK MONITORING SYSTEM – PRMS INFORMATION FRAMEWORK (EXAMPLE)

EVENT
Is a protection
violation occurring?

PREVALENCE
How much of it is
occurring / Percentage
of people affected

CAUSE
What/Who are the
drivers of the event?

AFFECTED
POPULATION
Are specific people
targeted?

COPING STRATEGIES /
EFFECT ON
POPULATION

CAPACITIES

In the last month, have people in your community experienced impediments or restrictions to freedom of movement?

No, Few, Some, Many, Most (Link to JIAF 2.0 severity scales for Protection) State armed actors, non-state armed actors, Inter-communal armed actors....

Most or all community members, women in particular, children in particular, elderly in particular IDPs, Returnees...

Lack of access to
livelihood opportunities,
Lack of access to lifesaving services, GBV
including Intimate
Partner Violence, Lack of
access to education, Lack
of family unity, Raising
crime, Other insecurities,
Child Labour, Early forced
marriage, Psychological
distress

Mostly qualitative, for example in FGD, capacity of the community to respond to their Challenges

















KEY INFORMANT INTERVIEW, OBSERVATION, AND FOCUSED GROUP DISCUSSION TOOLS – COMMON DATA POINTS

 All three tools are available for Protection Cluster member organizations at the following the link:

https://enketo.unhcr.org/x/00wwE51l.

- Login Username: ssd_pms_dataentry
 Password: pms1123
- ODK: URL https://kobocat.unhcr.org
 Username: ssd_pms_dataentry Password: pms1123
- For ease of usage, all three tools are set as modules of a single form.
- After entering the basic enumerator and location data, the user selects which tool (approach) to use.

INHCR Europi





PRMS Tool (demo)

Please select date	*
2024-08-30	
2024-08-30	arepsilon
Select your organization	*
Action Against Hunger - USA	•
Enter your email address	*
klasnic@unhcr.org	Is the location currently accessible to humanitarian actors?
	Yes
Location information	
State Select one Central Equatoria	Type of location Select one Predominantly urban
County Select one	O Predominantly rural
Terekeka	Type of (predominant) population Select all that apply
Payam Select one Muni	✓ IDPs ☐ Returnees ☐ Host community (HC)
	Unsure
	Name of site
	Select type of event
	none selected •
	Key Informant Interview (KII)
	Observation
	○ Focus group discussion (FGD)



KEY INFORMANT INTERVIEW, OBSERVATION, AND FOCUSED GROUP DISCUSSION TOOLS KEY INFORMANT INTERVIEW

Key Informants

- Local Traditional Authorities (Chiefs)
- Local Governmental Authorities
- Leaders of vulnerable or at-risk groups (women groups, youth groups, groups of people who self-identify as Persons with Disability)
- ☐ Religious Leaders
- Medical Staff (Doctors, Nurses, Midwives)
- Non-medical Healers
- ☐ Teachers or School Administrators
- Community Elders
- □ NNGO/Humanitarian/Community-Based
 - Organizations experts
- Other relevant informants



















KEY INFORMANT INTERVIEW

 Please insert meta-data regarding the key informant Kev Informant Interview

- Please note, in case of interviewing a child, a Child Protection Officer needs to be present
- Proceed with the Washington Group set of questions to the KI (more on the WG set of questions HERE)

KI gender Select one	*
Male	
○ Female	Does the KI have difficulty seeing, even if wearing glasses?
KI age group Select one <18 (child protection officer needs to be presented)	 ✓ Yes ✓ No ✓ I do not know / I do not wish to answer
18-24 25-34	Does the KI have difficulty hearing, even if using a hearing aid?
35-59	○ Yes
<u> </u>	○ No ○ I do not know / I do not wish to answer
KI position in the community Select one	Does the KI have difficulty walking or climbing steps?
none selected	○ Yes
	○ No ○ I do not know / I do not wish to answer
	Does the KI have difficulty remembering or concentrating?
	○ Yes ○ No
	I do not know / I do not wish to answer
	Does the KI have difficulty (with self-care such as) washing all over or dressing?
	○ Yes ○ No
	I do not know / I do not wish to answer
	Using their usual language, does the KI have difficulty communicating, (for example understanding or being understood by others)? Yes No
	I do not know / I do not wish to answer









- Upon selecting the presence of a certain risk, you will be prompted to ask follow-up questions. In case the risk is not present, or the KI does not know/wishes to answer, you will be directed to the next Risk
- In case the risk is not present, it is mandatory to select No, as the no-risk present is also useful information.







Upon selecting the presence of a certain risk, you will be prompted to ask follow-up questions. In case the risk is not present or the KI does not have knowledge/wishes to answer, you will be directed to the next Risk
In the last month, have people in your community experienced impediments or restrictions to freedom of movement?
none selected •
In the last month, have people in your community Experienced displacement?
none selected -
In the last month, have people in your community experienced challenges caused by influx of people from other communities?
none selected •
In the last month, have people in your community experienced attacks on civilians, including children, and other unlawful killings?
none selected •
In the last month, have people in your community experienced attacks on civilian objects including land/house grabbing?
none selected •
In the last month, have people in your community experienced kidnapping or enforced disappearance
none selected •
In the last month, have people, including children, in your community experienced arbitrary or unlawful arrest and/or detention
none selected ▼



- Upon selecting the presence of a certain risk, you will be prompted to ask follow-up questions. In case the risk is not present, or the KI does not know/wishes to answer, you will be directed to the next Risk
- In case the risk is not present, it is mandatory to select No, as the no-risk present is also useful information



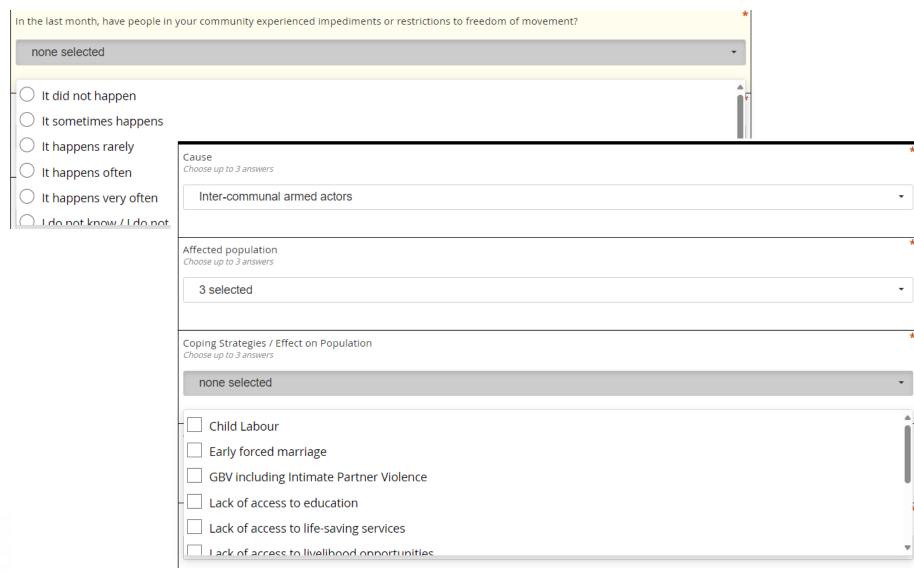




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In the last month, have people in your community Experienced displacement?
none selected ·
in the last month, have people in your community experienced challenges caused by influx of people from other communities?
none selected •
in the last month, have people in your community experienced attacks on civilians, including children, and other unlawful killings?
none selected -
in the last month, have people in your community experienced attacks on civilian objects including land/house grabbing?
none selected •
In the last month, have people in your community experienced kidnapping or enforced disappearance
none selected •
in the last month, have people, including children, in your community experienced arbitrary or unlawful arrest and/or detention
none selected -



- For each of the Protection
 Risks listed, we first need to
 establish the scale of the
 occurrence among the
 assessed population.
- If a certain scale of occurrence is identified, the system allows us to choose (up to three) causes of the occurrences, the most affected population groups and sub-groups, and up to three most recognized coping strategies and/or effects on the population for the chosen Protection Risk











- While not mandatory, in case you want to submit a comment to the Risk, use the appropriate box.
- Once the interview has finished, and we have collected information for all 15 Protection Risk, we hit the 'Submit' button to finalize the questionnaire.
- In case you do not have immediate access to the internet, the system will send the data on the first occasion you connect.

Coping Strategies / Effect on Population Choose up to 3 answers	*
none selected	•
Comment	
	<i>1</i> 1
	.1
Are there places in this location or in close proximity that people avoid due to risk of explosive ordnance?	,
none selected	•















OBSERVATION METHOD

When to use the Observation Tool?

- During regular site visits
- ☐ During rapid needs assessments
- During emergencies
- ☐ During the delivery of mobile protection services
- While conducting other targeted assessments in CCCMmanaged sites
- ☐ When 'discovering' a new IDP or Returnee site, etc.

Benefits of the Observation method?

- Enables data and information collection without direct engagement with communities that might be currently under shock.
- ☐ Enables qualitative and quantitative data collection which in turn facilitates structured reporting.
- ☐ Enables meaningful engagement while keeping records (that can be revised before the submission).













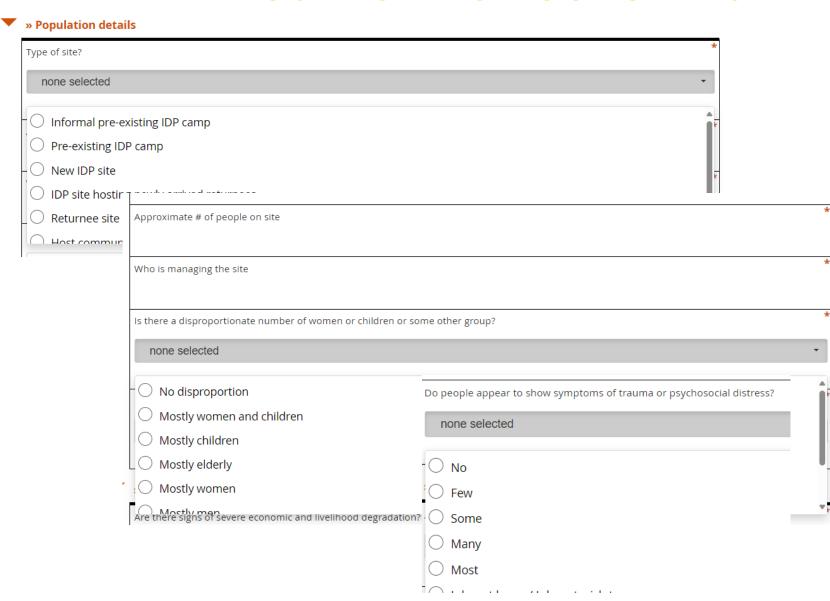






OBSERVATION METHOD – POPULATION DETAILS

- Please select the type of site you are observing.
- Please enter the approximate number of people at the site.
- If there is a site management structure, please note who is managing or if the site is selfmanaged by the community.
- It is indicative of several risks whether there is a disproportionate number of women and children at the site, please take note of that.
- Try to observe the presence of trauma or PSS distress among the population.





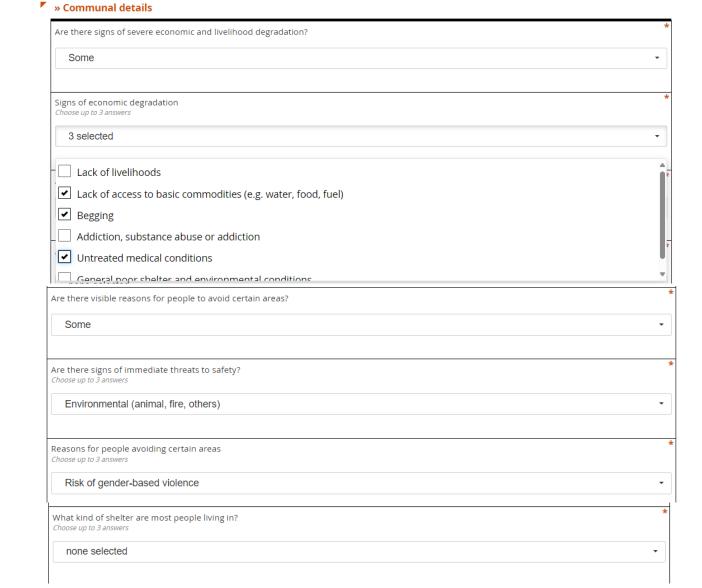






OBSERVATION METHOD – COMMUNAL DETAILS

- Please inform on the scale of economic degradation and select observed signs (up to three).
- Avoidance of certain areas, fear of protection risks in certain areas and their scale, is the next communal observation needed.
- Useful information in assessing communal issues is the assessment of the most predominant shelter types.





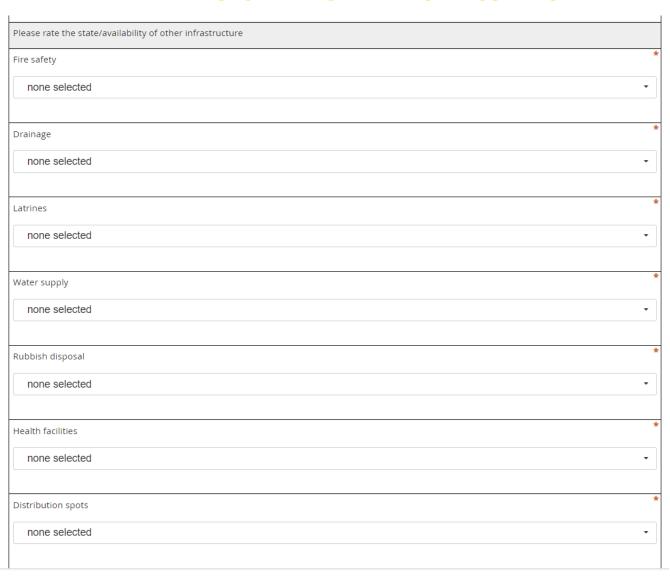






OBSERVATION METHOD – COMMUNAL DETAILS

- Please rate the state or availability of other relevant infrastructure at the site. These include:
 - Fire safety
 - Drainage
 - Latrines
 - Water supply
 - Rubbish disposal
 - Health facilities
 - Distribution points, etc





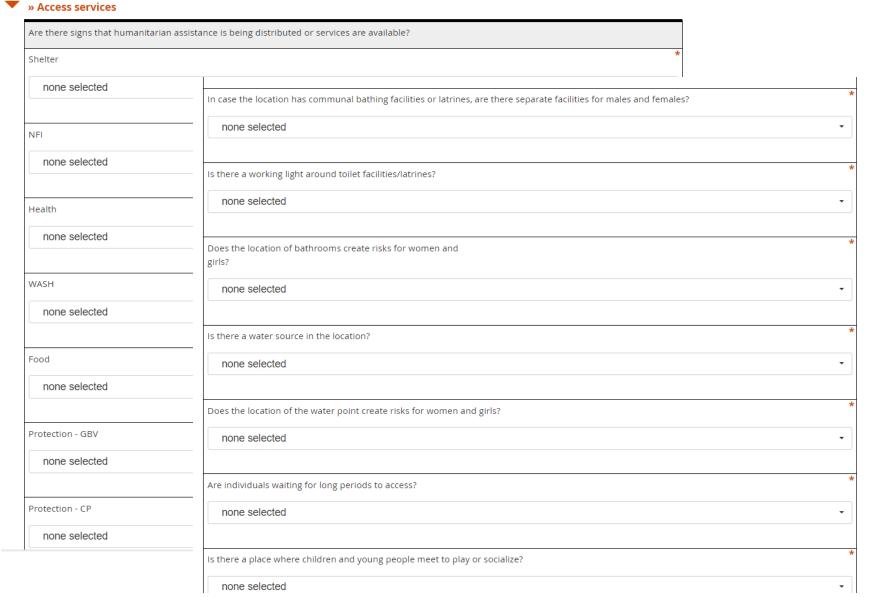






OBSERVATION METHOD – ACCESS SERVICES

- Please note if there are signs that humanitarian assistance is being distributed or services are available. Those include:
 - Shelter
 - NFIs
 - Health
 - WASH
 - Food
 - Protection (MA, GP, CP, HLP, GBV)
 - Bathing facilities
 - Lighting, etc.











OBSERVATION METHOD – SPECIALISED PROTECTION NEEDS

- Please note if there are any specialized protection needs.
 Those include:
 - Firewood gathering by women
 - Child labour
 - Isolation and neglect
 - Care arrangements for Persons with Disabilities
 - Water point safety, etc.

» Specialized protection needs	
Signs of firewood gathering women?	Do you observe any signs of isolation, neglect or challenges for women or girls?
none selected	none selected
Signs of child labour?	Do you observe any signs of isolation, neglect or challenges for children in particular?
none selected	none selected
	Can any care arrangements for persons with physical disabilities be observed?
Signs of other negative coping mechanisms (crime, substance abuse, idling etc.)?	none selected
none selected	
Do you observe any signs of isolation, neglect or challenges of older people?	Can any care arrangements for separated and unaccompanied children be observed?
none selected	none selected
Do you observe any signs of isolation, neglect or challenges of people with disabilities?	Does the location of bathrooms create risks for women and girls?
none selected	none selected
Do you observe any signs of isolation, neglect or challenges for women or girls?	Does the location of the water point create risks for women and girls?
none selected	none selected
Do you observe any signs of isolation, neglect or shallonges for shildren in particular	
Do you observe any signs of isolation, neglect or challenges for children in particular?	Does the location have a space for women and children to gather safely?
none selected	none selected



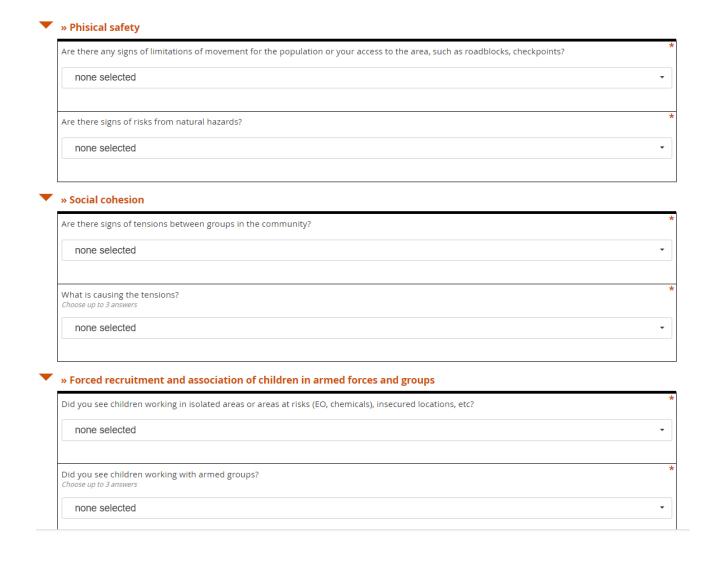






OBSERVATION METHOD – PHYSICAL SAFETY, SOCIAL COHESION, FORCED/CHILD RECRUITMENT

- Please note if there are any signs implying physical safety risks.
- Please note if there are any signs of threats to social cohesion.
- Please note if there are signs of forced or child recruitment.











KEY INFORMANT INTERVIEW

- Once the observation has finished, and we have collected information, we hit the 'Submit' button to finalize the questionnaire.
- In case you do not have immediate access to the internet, the system will send the data on the first occasion you connect.

none selected				
				—

0

















FOCUS GROUP DISCUSSION

Focus Group Discussions (FGDs) are semi-structured group discussions that produce information about the community.

The strength of an FGD is the forum it creates for discussion between participants, thus giving rise to new ideas and explanations, that would not have come up during a KII, Observation, or household interview.

FGDs are commonly conducted with age-gender-diversity disaggregated groups or groups identifying over population types such as IDPs, Returnees, and Host Communities. They must be performed in places that communities consider safe.

Sensitive topics may often arise during FGDs, which otherwise would not be openly talked about. The fact that focus group participants share such information places a great responsibility on the facilitator. The responsibility of protecting the informants is much more important than getting information. If a topic is judged too sensitive and could create problems with the community upon sharing it openly, it should be avoided; even if this means less data.













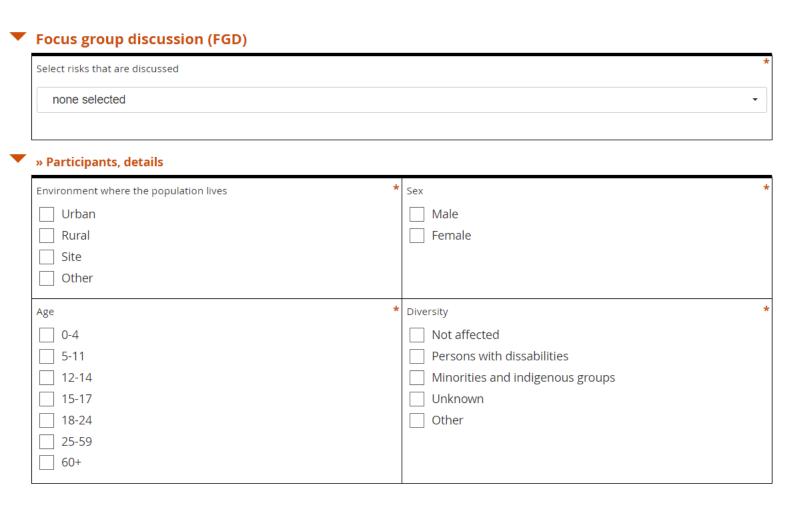






FOCUS GROUP DISCUSSION

- Select the Protection Risk(s) that is going to be the overall topic of the discussion (refer to the Risk descriptions and guidance if in doubt to match concrete topic with Risk(s)).
- Fill meta-data on participant details.





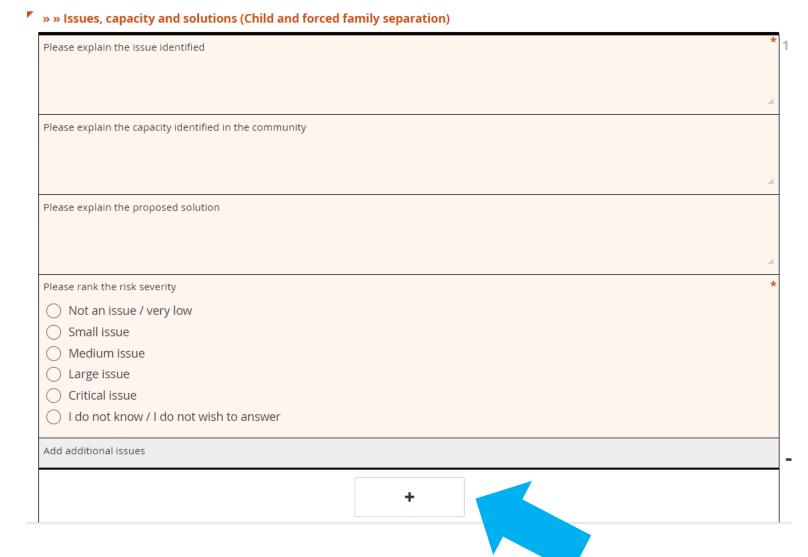






FOCUS GROUP DISCUSSION

- Upon the selection of the overarching Protection Risk, please explain the issue identified by the group and describe.
- Please explain the capacity of the group/community to address the issue.
- Please explain the proposed solution.
- Please attempt to quantify the level of importance of the issue to the community.
- The system allows for unlimited addition of issues to overarching risks by way of adding modules by clicking +.
- Please consider that is recommended to take notes and compile the tool after the FGD to ensure the adding of issues to relevant risks.











FOCUS GROUP DISCUSSION

- Once the FGD has finished, and we have collected information, we hit the 'Submit' button to finalize the questionnaire.
- In case you do not have immediate access to the internet, the system will send the data on the first occasion you connect.

Are there places in this location or in close proximity that people avoid due to risk of explosive ordnance?	*
none selected	•



















BACKGROUND

Following the successful implementation of the Protection Monitoring System in South Sudan in 2022 and concurrent global efforts by the GPC and AoRs to streamline the Protection Analytical Framework across all aspects of cluster work — including risk assessment, needs assessment, advocacy, programming, coordination, and overall harmonization — the Protection Cluster and AoRs in South Sudan initiated a transformation process in January 2024 through its PROMO working group. This transformation process is undertaken in close collaboration with the GPC and global AoRs, and supported by UNHCR/ECHO, DRC, and USAID (MELS).

An essential part of the transformation agenda is the upgrade, development, and implementation of qualitative and quantitative data through systems that are specially designed to collect data through Key Informant Interviews (KII), Focus Group Discussions (FGD), Observation Methods, Area-based sub-national coordination mechanism Risk Assessment, and AoR-specific Quarterly Expert Reports (QER). These tools' findings are all aligned with the 15 Protection Risks and serve as data points for Risk Assessments across the country, while the QERs also serve as data sources for the Humanitarian Needs Assessment analytical framework as set by the GPC and AoRs.

















CP AoR

The tool is accessible following the link: https://enketo.unhcr.org/x/6BYtZkyl

It is designed to collect quantitative and qualitative data in a uniform way (close-ended questions and max 600 characters comments section)

It will serve for PRMS Risk assessment, for HNO indicator assessment for PiN and as a quarterly report for CP AoR in South Sudan.

It is meant to be filled by sub-national CP AoR focal points or other HLP stakeholders on the ground that champions CP in specific counties (county-level focal points where applicable) every quarter (by the last day of the quarter).

Initially, the focal point needs to select the organization and county as well as insert a valid e-mail (the filled form will be sent in PDF format so next time the enumerator fills the form he/she can review changes)

The structure is purposely intended to capture HLP-relevant risks in each county. It asks if a given occurrence has happened/what the scale is, which population might be most affected, and it asks for a brief description of occurrence in that county in the three months. Some questions allow for multiple answers.

















GBV AoR

The tool is accessible following the link: https://enketo.unhcr.org/x/pKldNCnu

It is designed to collect quantitative and qualitative data in a uniform way (close-ended questions and max 600 characters comments section)

It will serve for PRMS Risk assessment, for HNO indicator assessment for PiN and as a quarterly report for HLP in South Sudan.

It is meant to be filled by sub-national GBV focal points or other GBV stakeholders on the ground that champion GBV in specific counties (county-level focal points where applicable) every quarter (by the last day of the quarter). Initially, the focal point needs to select the organization and county as well as insert a valid e-mail (the filled form will be sent in PDF format so next time the enumerator fills the form he/she can review changes)

The structure is purposely intended to capture HLP-relevant risks in each county. It asks if a given occurrence has happened/what the scale is, which population might be most affected, and it asks for a brief description of occurrence in that county in the three months. Some questions allow for multiple answers.

















HLP AoR

The tool is accessible following the link: https://enketo.unhcr.org/x/6BYtZkyl

It is designed to collect quantitative and qualitative data in a uniform way (close-ended questions and max 600 characters comments section)

It will serve for PRMS Risk assessment, for HNO indicator assessment for PiN and as a quarterly report for HLP in South Sudan.

It is meant to be filled by sub-national HLP focal points or other HLP stakeholders on the ground that champions HLP in specific counties (county-level focal points where applicable) every quarter (by the last day of the quarter).

Initially, the focal point needs to select the organization and county as well as insert a valid e-mail (filled form will be sent in PDF format so next time the enumerator fills the form he/she can review changes)

The structure is purposely intended to capture HLP-relevant risks in each county. It asks if a given occurrence has happened/what the scale is, which population might be most affected, and it asks for a brief description of occurrence in that county in the three months. Some questions allow for multiple answers.















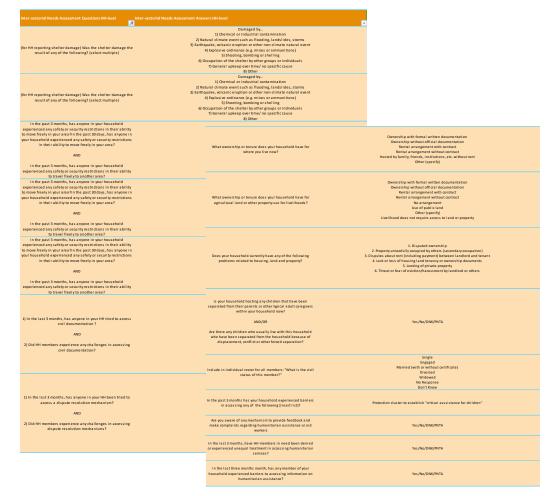


HOUSEHOLD LEVEL PROTECTION ASSESSMENT

BACKGROUND

In 2023, the Protection Cluster in South Sudan, with the support of the Global Protection Cluster (GPC) and IOM DTM, contributed to the finalization of the ISNA assessment tool deployed in the country to, among other things, serve as household-level assessment for the upcoming HNRP process. In doing so, the PC and AoRs ensured that the submitted questions were:

- ☐ Relevant to Assessment of Protection Risks
- ☐ Relevant to HNO analytical indicators across all three pillars
- ☐ Relevant to household-level assessment as a data-gathering tool respecting PIM principles
- ☐ Spread across the entire questionnaire (irrelevant to blocks) to ensure better flow.



















SUB-NATIONAL PROTECTION RISK ASSESSMENT BACKGROUND

In 2023, the Protection Cluster in South Sudan, with the support of the Global Protection Cluster (GPC), introduced a sub-national Protection Risk assessment as a quarterly process intended to supplement the Protection Monitoring System (PMS) and provide knowledge of the state of the 15 Protection Risks following the Protection Analytical Framework (PAF). Meanwhile, the GPC, in coordination with Areas of Responsibilities (AoR), has finalized an agreement on ways forward and joint understandings of knowledge gathering, and further use of data collected through the sub-national assessments. Below is guidance aligned with the adopted global framework for sub-national risk assessments.



















SUB-NATIONAL PROTECTION RISK ASSESSMENT

GUIDANCE

Sub-national Protection Cluster and AoR Protection Risk Assessment Group – consists of state-level PC coordinator and co-coordinator leading the process. It also consists of AoR coordinators and co-coordinators at the state level. It is highly recommended that the team also consist of the representatives of the most prominent protection actors in the field, national NGOs, and representatives of local communities such as women group leaders, youth leaders, people representing persons with disabilities, etc.

Frequency – It is recommended that the group convenes quarterly, and it is mandatory to convene twice per year (ahead of major milestones such as the mid-year Protection Analysis Update, or HNRP) to conduct the assessment/re-assessment.

Decisions – the risk severity (re)assessment is conducted in person (if applicable) and decisions are made preferably by consent. Otherwise, if consent is not possible for selected points, the sub-national cluster coordinator may ask for further guidance from the national-level cluster who will decide.

















SUB-NATIONAL PROTECTION RISK ASSESSMENT

GUIDANCE

Protection Risk Severity Criteria – The GPC, in coordination with AoRs and OHCHR, developed a framework for each of the 15 risks, defining variables intended to guide the assessment team in assigning severities related to risks and population groups.

Protection Risk Severity Output – The output of the assessment exercise is a comprehensive severity assessment of each county's population type against each of the 15 Protection Risks. The output is consolidated in an MS Excel template whereby severities are marked from 1 (lowest) to 5 (highest). For ease of the flow of the assessment, the group looks at scores submitted in a previous session and adjusts in accordance to new information, developments, or shocks.

Best Practice Assessment Meeting Flow – It is recommended as best practice that the assessment is conducted as an integral part of the monthly cluster meeting at the sub-national level and some two hours dedicated to the exercise. It is recommended that the meeting be led by the sub-national coordinator and moderated by a national cluster field support officer. It is recommended that the coordinator ensures the availability of printed handouts on Protection Risk Descriptions and Criteria Severity Descriptions while the assessment table is screened on a TV or projector, thus changes to the severities are visible to all. To manage time and flow, the cluster recommends the team re-evaluate scores submitted on previous occasions instead of starting from the blank template.



















When interviewing

- Always introduce yourself and what the aim of the exercise is
- Always ask if the participant is okay with being interviewed.
- Always explain how the data will be used and managed
- Always explain the timeline and process
- Always explain how to report fraud or misconduct.
- Always ensure the participants are in a safe and confidential environment.
- O Do not read out all the possible answers. Give a few examples of possible answers to ensure understanding. Ask follow-up questions to assess the situation IF NECESSARY.
- Do not seek information about specific incidents related to CP or GBV
- o It is necessary to answer all the questions to submit the questionnaire.
- o If the Participant does not want to answer, do not try and force them, simply move on.
- It is okay if the participant needs a break.
- **O NEVER offer something in return for information.**
- NEVER make a promise that you cannot keep.
- Always thank the participant for their time and cooperation.











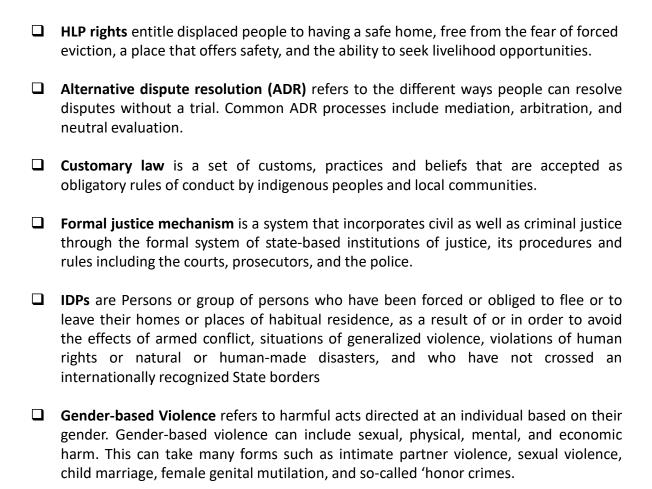






GLOSSARY

A child associated with an armed force or armed group refers to any person below 18 years of age who is, or who has been, recruited or used by an armed force or armed group in any capacity, including but not limited to children, boys and girls, used as fighters, cooks, porters, spies or for sexual purposes.
Unaccompanied children (also called unaccompanied minors or UAM) are children who have been separated from both parents and other relatives and are not being cared for by an adult who, by law or custom, is responsible for doing so.
Separated children (SC) are those separated from both parents, or from their previous legal or customary primary caregiver, but not necessarily from other relatives. These may, therefore, include children accompanied by other adult family members.
The term "child labour" is often defined as work that deprives children of their childhood, their potential and their dignity, and that is harmful to physical and mental development. It refers to work that: (1) is mentally, physically, socially or morally dangerous and harmful to children; and/or (2) interferes with their schooling by: depriving them of the opportunity to attend school; obliging them to leave school prematurely; or requiring them to attempt to combine school attendance with excessively long and heavy work.
Forced labour refers to situations in which persons are coerced to work through the use of violence or intimidation, or by more subtle means such as accumulated









debt, or retention of identity papers.



GLOSSARY

prostitution of others or other forms of sexual exploitation, forced labour or services,

slavery or practices similar to slavery, servitude or the removal of organs.

The 6-item Washington Group Short Set of Disability Questions (WGQ) is a set of questions designed to identify people with a disability. The questions assess whether people have difficulty performing basic universal activities such as walking, seeing, hearing, cognition, self-care and communication.	For internally displaced persons, a durable solution is achieved when internally displaced persons no longer have any specific assistance and protection needs that are linked to their displacement and can enjoy their human rights without discrimination on account of their displacement.
Birth certificate is an official document issued to record a person's birth, including his/her name, place of birth, date of birth and parentage.	Forced marriage is a marriage that is entered into without the free and full consent of one or both the intending spouses.
Caregiver is a person with whom the child lives who provides daily care to the child, without necessarily implying legal responsibility. The child's customary caregiver is the child's usual caregiver. This person has a parental role but may or	Kidnapping is the crime of seizing and taking away a person by force or fraud, often with a demand for ransom.
may not be related to the child, and may not be the child's legal guardian. In an emergency context, this would typically mean the child's caregiver prior to the emergency.	Protection consists of all activities aimed at obtaining full respect for the rights of the individual in accordance with the letter and the spirit of the relevant bodies of law (i.e. Human Rights law, International Humanitarian Law, Refugee law).
Child abduction is the removal or retention of a child in breach of custody rights attributed to a person, an institution or any other body, either jointly or alone, under the law of the State in which the child is habitually resident or was habitually resident immediately before the removal or retention.	Trafficking in persons is the recruitment, transportation, transfer, harbouring or receipt of persons, by means of the threat or use of force or other forms of coercion, of abduction, of fraud, of deception, of the abuse of power or of a position of vulnerability or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purpose of
Discrimination is any distinction, exclusion, restriction or preference which is	exploitation. Exploitation shall include, at a minimum, the exploitation of the







by all persons, on an equal footing, of all rights and freedoms.

based on any ground such as race, colour, sex, language, religion, political or other

opinion, national or social origin, property, birth or other status, and which has the

purpose or effect of nullifying or impairing the recognition, enjoyment or exercise